ATTORNEY'S DOCKET NUMBER (198/40109) Case 469-PCT FORM PTO-1390 (REV 10-94) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES OF SIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) **10/**070233 FEB 2 8 2002 INTERNATIONAL FILING DATE PRIORITY DATE CLAIM TERNATION APPLICATION NO. August 31, 2000 September 3, 1999 TITLE OF INVENTION: PORTABLE LOAD INDICATING DEVICE FOR A RAIL VEHICLE APPLICANT(S) FOR DO/EO/US Paul Andrew HORSFALL Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). 4. D A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (required only if not transmitted by the International Bureau). As been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. Amendments to the specification of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith in the International Application (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNEXECUTED). 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16, below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. ☐ A SECOND or SUBSEQUENT preliminary amendment. Mailing Label Number 14. A substitute specification. EL794167916U Date of Deposit: 15. A change of power of attorney and/or address letter. I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 35 CFR 1.10 on the date indicated above and is addressed to the Asst. Commissioner 16. U Other items or information: Patents, Washington, D.C. 20231 a. Applicant hereby claims small entity status.

b. \square Abstract

17. Mainternational Search Report

(TYPED OR PRINTED NAME

	5. APPLICATION NO (If known, see 37 C F R 15) 10/070233 INTERNATIONAL APPLICATION NO PCT/GB00/03267				ATTORNEY'S DOCKET NUMBER (198/40117) Case 471-PCT		
17. The following	CALCULATIONS PTO USE ONLY						
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Independent claims	1 - 3 =	-0-	X \$80.00	\$ -0-			
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	
Applicant: Paul Andrew HORSFALL)	0.75 . 64.77 . 4.75
Serial No.: To be assigned)	Certificate of Mailing by "Express Mail" Mailing Label NumberEL794167916US Date of Deposit:
Filed: February 28, 2002)	I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 35 CFR 110 on the date indicated above and is addressed to Assistant Commessioner For Pate (FWashington, D.C. 20231-0001.
For: PORTABLE LOAD) INDICATING DEVICE FOR) A RAIL VEHICLE)	Nancy Vanizeyi
Group Art Unit: To be assigned)	V
Examiner: To be assigned)	
Attorney Docket No.: 198/40109/469-PCT)	

PRELIMINARY AMENDMENT

Assistant Commissioner For Patents Washington, D.C. 20231-0001

Sir:

In the matter of the above-identified application, kindly enter the following Amendment before examining the application:

IN THE SPECIFICATION:

Page 1, as the first paragraph following the title, insert the following:

-- Related/Priority Application

This application claims priority with respect to British Application No. 9920715.1, filed September 3, 1999 and PCT Application No. PCT/GB00/03333, filed August 31, 2000.--

IN THE CLAIMS:

Please cancel the current set of claims in this application, and replace with the following set of new claims:

CLAIMS

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- Apparatus for indicating the load imposed by each axle and/or each wheel of a railway vehicle comprising two substantially convex carrier shoes adapted to be urged apart into clamped positions along the adjacent sides of a pair of rails so as to be engageable by the peripheries of the flanges of the wheels on an axle whereby the tyres of said wheels are raised just clear of the rails, a load-sensing device disposed at the highest portion of each shoe, and load-indicating means connected to said devices, wherein the apparatus is sectional, characterised in that the shoes are adapted to be urged apart into their clamped positions by means of two struts each of which extends between the shoes to enhance the rigidity of the apparatus whilst being readily separable from the shoes to facilitate portability of the struts and shoes.
- Apparatus according to claim 13wherein each strut abuts, when in operative position, at one end against one of the shoes and at the other end against a nut on a screw-threaded spigot rigidly secured to the other of the shoes.
- 15. Apparatus according to claim 14 wherein each strut is tubular and fits closely at said one end over a plain spigot rigidly secured to one of the shoes and at the other end over that end of the screw-threaded spigot remote from the other of the shoes.
 - Apparatus according to claim 15 wherein each shoe has rigidly secured to it one screw-threaded spigot and one plain spigot whereby both shoes have the same uniform configuration.

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- 17. Apparatus according to claim13 wherein each shoe has at least one carrying handle rigidly secured to it.
- 18. Apparatus according to claim 13 wherein each device comprises a load-sensing cell fixedly mounted near both of its ends on lands in a recess on the associated shoe, and a load-plate spaced above and rigidly secured to a central zone of said cell, the upper surface of the load-plate being substantially flush with the highest portion of the shoe.
- 19. Apparatus according to any one of claims 13 to 18 wherein the load-indicating means
 are a computer capable of showing and recording the load imposed by individual axles
 and/or individual wheels.
 - 20. Apparatus according to any one of claims13to18 wherein the load-indicating means are a digital indicator capable of showing the load imposed by individual axles and/or individual wheels.
 - 21. Apparatus according to claim13 wherein at least one support member is secured to each shoe so as effectively to embrace the outer and lower faces of the shoe, the or each support member being vertically adjustable relative to the shoe to suit different heights of rails.
 - 22. Apparatus according to claim 21 wherein two spaced-apart support members are secured to each shoe.

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23. Apparatus according to claim 13 wherein the shoes are provided with replaceable wear-strips aligned with the load-sensing devices for engagement by the peripheries of the flanges of the wheels.

Respectfully submitted,

Ry

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ATTORNEYS FOR APPLICANT

Dated: February 28, 2002

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PORTABLE LOAD INDICATING DEVICE FOR A RAIL VEHICLE

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This invention relates to apparatus for indicating load imposed by each axle and/or each wheel of a rail vehicle.

It is necessary to check such loads statically, for example after the assembly of a bogie, because rail track systems impose limits on the weight of rolling stock to prevent excessive wear of the rails. This has previously required a fixed installation, usually a weigh-bridge, to which the bogie must be transported. This is a time-consuming operation, and an accuracy of less than about 10 kilograms is not obtainable. Furthermore, with the advent of rail privatisation, it is likely that rail track systems will charge rolling stock operators by the weight of a train instead of by its length as at present, so that the dynamic checking of the loads imposed by all the axles of a moving train will become necessary. It is a time-consuming and costly operation to drive a whole train to a remote fixed weighing installation.

Our U.K. Patent Application No: 9715092.4 discloses load-indicating apparatus which is sectional so as to be portable and capable of installation on any length of existing conventional track.

The object of the present invention is to provide sectional load-indicating apparatus having superior rigidity to that of our aforesaid application. A further

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object is to provide such apparatus which is even more readily portable.

the load imposed by each axle and/or each wheel of a railway vehicle comprises two substantially convex carrier weed apart into damped positions shoes adapted to be clamped/along the adjacent sides of a pair of rails so as to be engageable by the peripheries of the flanges of the wheels on an axle whereby the tyres of said wheels are raised just clear of the rails, a load-sensing device disposed at the highest portion of each shoe, and load-indicating means connected to said devices, the apparatus this characterist in that the shoes being adapted

to be urged apart into their clamped positions by means of each of which extends between the whose to enhance the rigidity of the two struts/which are readily separable from the shoes to

15 facilitate portability of the struts and shoes.

Each strut preferably abuts, when in operative position, at one end against one of the shoes and at the other end against a nut on a screw-threaded spigot rigidly secured to the other of the shoes.

Preferably, each strut is tubular and fits closely at said one end over a plain spigot rigidly secured to one of the shoes and at the other end over that end of the screwthreaded spigot remote from the other of the shoes.

Preferably, also, each shoe has rigidly secured to it one screw-threaded spigot and one plain spigot whereby both shoes have the same uniform configuration.

apparation whilst being



Each shoe preferably has at least one carrying handle rigidly secured to it.

Preferably, each device comprises a load-sensing cell fixedly mounted near both of its ends on lands in a recess in the associated shoe, and a load-plate spaced above and rigidly secured to a central zone of said cell, the upper surface of the load-plate being substantially flush with the highest portion of the shoe.

The load-indicating means may be a computer capable of showing and recording the load imposed by individual axles and/or individual wheels.

Alternatively, the load-indicating means may be a digital indicator capable of showing the load imposed by individual axles and/or individual wheels.

Preferably, at least one support member is secured to each shoe so as effectively to embrace the outer and lower faces of the shoe, the or each support member being adjustable in height to suit the cross-sectional profiles of different types of rails.

20 Preferably, also, two spaced-apart support members are secured to each shoe.

The shoes are preferably provided with replaceable wear-strips aligned with the load-sensing devices for engagement by the peripheries of the flanges of the wheels.

A preferred embodiment of the invention will now be described, by way of example only, with reference to the accompanying drawings, of which:-

Figure 1 is a plan view of portable apparatus for indicating the load imposed by each axle and/or each wheel of a rail vehicle, with conventional electrical components omitted;

Figure 2 is a plan view on a larger scale of a loadsensing device forming part of said apparatus;

Figure 3 is a side elevation of the load-sensing device in the direction of arrow 3 in Figure 2; and

Figure 4 is an end elevation of the load-sensing device in the direction of arrow 4 in Figure 3, showing how said device co-acts with a rail.

15 Referring now to Figure 1 of the drawings, apparatus for indicating the load imposed by each axle and/or each wheel of a rail vehicle is sectional to facilitate its portability, and includes two solid carrier shoes 10. Each shoe 10 is short enough to fit between adjacent rail clamps 20 on a length of conventional track, and is substantially convex in the sense that its top surface has plane end portions 12 inclined downwardly at an angle of, say, two to five degrees from its central highest plane portion 14 as shown in Figure 3. The shoes 10 are adapted to be urged 25 apart to clamp them with a very high degree of rigidity along and against the adjacent sides of a pair of rails

such as 16 (see Figure 4) by means of two spaced-apart parallel struts 22 which are readily separable from the shoes 10. To this end, each strut 22 abuts, when in its operative position shown in Figure 1, at one end against one of the shoes 10 and at the other end against a washer 24 and a nut 26 on a screw-threaded spigot 28 rigidly secured by welding to the other of the shoes 10. Each strut 22 is tubular and fits closely at said one end over a plain spigot 30 rigidly secured by welding to one of the 10 shoes 10 and at said other end over that end of the screwthreaded spigot 28 remote from the other of said shoes. Each shoe 10 has welded to it one screw-threaded spigot 28 and one plain spigot 30 whereby both shoes 10 have the same uniform configuration. Each of the screw-threaded spigots 15 28 also carries a lock-nut 32 which is tightenable against the nut 26. Each shoe 10 also has rigidly secured to it by welding two carrying handles 34. When the apparatus is unclamped, the separability of the struts 22 from the shoes 10 facilitates portability of the apparatus by allowing 20 each strut and each shoe to be handled individually. Struts 22 of different lengths can be provided to suit different track gauges, that is to say different spacings between pairs of rails.

Referring now to Figure 4 of the drawings, two spaced25 apart support members or keys 36 of L-shaped cross-section
are secured to each shoe 10 by respective set-screws (not

shown) each of which passes through a vertical slot 42 in the associated member 36 and engages in the shoe 10 so that the effective height of said shoe is adjustable. horizontal base portion 38 of each member 36 fits within a rebate 40 in the associated shoe 10 when minimum effective height is required as shown. The shoe 10 and the member 36 are formed with complementary male and female radii 44 to provide stress relief in and thus maximum strength of the member 36. When the apparatus is fitted to a taller rail, packing pieces or shims (not shown) are inserted between the horizontal base portion 38 of each member 36 and the co-operating face of the rebate 40 as required. Members 36 of different outer and/or lower profiles, and/or of different sizes, can be provided to suit different types of rails. The arrangement is such that each member 36 rests on the base flange of the rail 16, regardless of the height of its profile, and effectively embraces the outer and lower faces of the associated shoe 10.

device indicated generally at 46 is disposed at the highest portion 14 of each shoe 10 and comprises a load-sensing cell 48 fixedly mounted near both of its ends on two lands 50 by set-screws 52 in a recess 54 in each shoe 10, and a load-plate 56 is disposed above the cell 48 and rigidly secured by two cap-screws 58 to a central zone of said cell, the upper surface of the load-plate 56 being

substantially flush with the highest portion 14 of said shoe. So that the load-plate 56 bears only upon the central zone of the cell 48, the end zones 60 of the lower surface of said load-plate are inclined as shown in Figure Thus the load-plate 56 can bear only upon the central zone of the cell 48 without introducing any stress raisers into its lower surface. A shim 62 is shown interposed between the central zone of the cell 48 and the load-plate 56 to assist in calibration of the apparatus. The heads of 10 the cap-screws 58 are secured by way of clearance holes 64 in the base of the shoe 10. No overload stop means are provided for the cell 48, as the space between said cell and the base of the recess 54 is more than sufficient to accommodate deflection of said cell resulting from the 15 maximum load imposed on the load-plate 56. Each cell 48 is connected to an electrical socket (not shown) in the associated shoe 10, and said socket can be connected by a plug (not shown) on wiring 66 to conventional loadindicating means (not shown) comprising a digital indicator or a personal computer. The plug and socket are protected 20 against physical damage and any ingress of water by a metal cover 68 provided with suitable rubber seals (not shown). Relatively small bending moments are exerted on the shoe 10 enabling the base of the recess 54 to be made relatively 25 shallow without risk of distortion, which in turn enables the load-plate 56 to be made relatively deep and therefore

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strong having regard to the overall height limit dictated by the cross-sectional profile of a rail. There are no screw-heads vulnerably disposed on the upper surface of the load-plate 56. The end portions 12 of the shoes 10 are provided with replaceable hardened inset wear-strips 70 secured by set-screws 72 in alignment with the load-sensing devices 46. For maximum hardness, strength and wear-resistance, tool steel and/or armour-plating steel is/are employed wherever appropriate.

In operation, the apparatus is clamped between an existing pair of rails at any convenient location however remote so that its support members 36 rest on the base flanges of the rails (see Figure 4) while the upper faces of its shoes 10, and more precisely the load-plates 56 therein, are engageable by the peripheries of the flanges or toes of the wheels on an axle of a rail vehicle whereby the tyres of said wheels are raised just clear of, say about 4 millimetres above, the rails and the load imposed by the axle is borne by the cells 48. When approaching and leaving the load-plates 56, the peripheries of the flanges of the wheels run on the aforesaid wear-strips. flanges remain safely between the rails at all times. static and dynamic loading can equally well be accurately measured, a digital indicator being capable of showing the loads imposed by individual axles and/or individual wheels and a computer being capable of showing and also recording

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said loads. Where the loads imposed by the axles and/or the wheels of a bogie are to be measured, a typical bogie with a total weight of around 5 tonnes is easily pushed into a checking position on the apparatus by four men.

In a modification, the shim 62 is omitted and the load-plate 56 bears directly upon the cell 48.

Claims:-

- 1. Apparatus for indicating the load imposed by each axle and/or each wheel of a railway vehicle comprising two (10) adapted to be unged apart into damped positions substantially convex carrier shoes/adapted to be clamped (16)
- along the adjacent sides of a pair of rails/so as to be engageable by the peripheries of the flanges of the wheels on an axle whereby the tyres of said wheels are raised just (46) clear of the rails, a load-sensing device/disposed at the highest portion of each shoe, and load-indicating means
- connected to said devices, wherein the apparatus is characterised in that the whose (10) are sectional, the shoes being adapted to be urged apart into (22) each of which extends between the whose to enhance the rigidity of the their clamped positions by means of two struts which are readily separable from the shoes to facilitate portability of the struts and shoes.
- 2. Apparatus according to claim 1, wherein each strut (22)

 abuts, when in operative position, at one end against one
 (10)

 of the shoes and at the other end against a nut on a screw(28)

 threaded spigot rigidly secured to the other of the shoes (10).
 (22)
 - 3. Apparatus according to claim 2, wherein each strut/is
- tubular and fits closely at said one end over a plain
 (30)

 spigot/rigidly secured to one of the shoes/and at the other
 (28)

 end over that end of the screw-threaded spigot/remote from
 the other of the shoes(10).
- 4. Apparatus according to claim 3, wherein each shoe has (28)
 25 rigidly secured to it one screw-threaded spigot and one

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different heights of rails.

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(30) (10) plain spigot/whereby both shoes/have the same uniform configuration.

- 5. Apparatus according to any one of the preceding (10) claims, wherein each shoe/has at least one carrying handle (34) rigidly secured to it.
- 6. Apparatus according to any one of the preceding claims, wherein each device comprises a load-sensing cell (48)

 (50)

 fixedly mounted near both of its ends on lands/in a recess (54)

 (10), (56)

 in the associated shoe/ and a load-plate/spaced above and

 rigidly secured to a central zone of said cell, the upper (56)

 surface of the load-plate/being substantially flush with the highest portion of the shoe(10).
- 7. Apparatus according to any one of the preceding claims, wherein the load-indicating means are a computer capable of showing and recording the load imposed by individual axles and/or individual wheels.
- 8. Apparatus according to any one of claims 1 to 6, wherein the load-indicating means are a digital indicator capable of showing the load imposed by individual axles and/or individual wheels.
 - 9. Apparatus according to any one of the preceding (36) claims, wherein at least one support member/is secured to (10) each shoe/so as effectively to embrace the outer and lower (10), (36) faces of the shoe/ the or each support member/being vertically adjustable relative to the shoe to suit

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- 10. Apparatus according to claim 9, wherein two spaced—(36) apart support members/are secured to each shoe(10).
- 11. Apparatus according to any one of the preceding (10)

 claims, wherein the shoes/are provided with replaceable (70)

 wear-strips/aligned with the load-sensing devices/for

 engagement by the peripheries of the flanges of the wheels.

 12. Apparatus for indicating the load imposed by each axle and/or each wheel of a railway vehicle, constructed, arranged and adapted to operate substantially as hereinbefore described with reference to, and as

illustrated by, the accompanying drawings.

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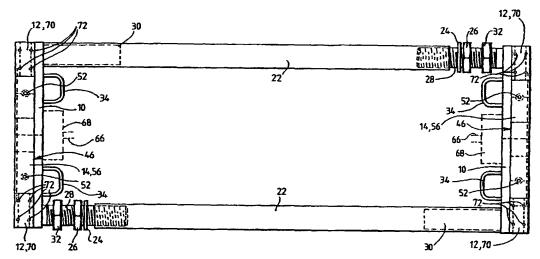
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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

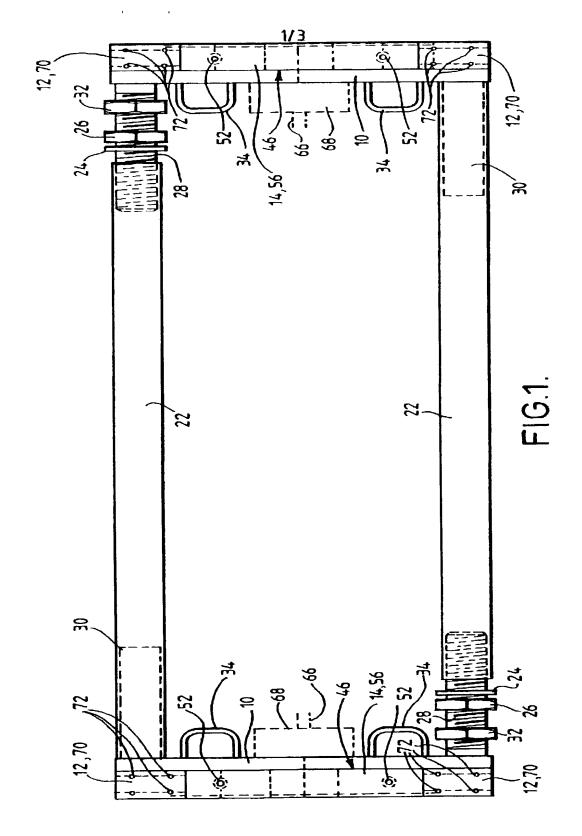
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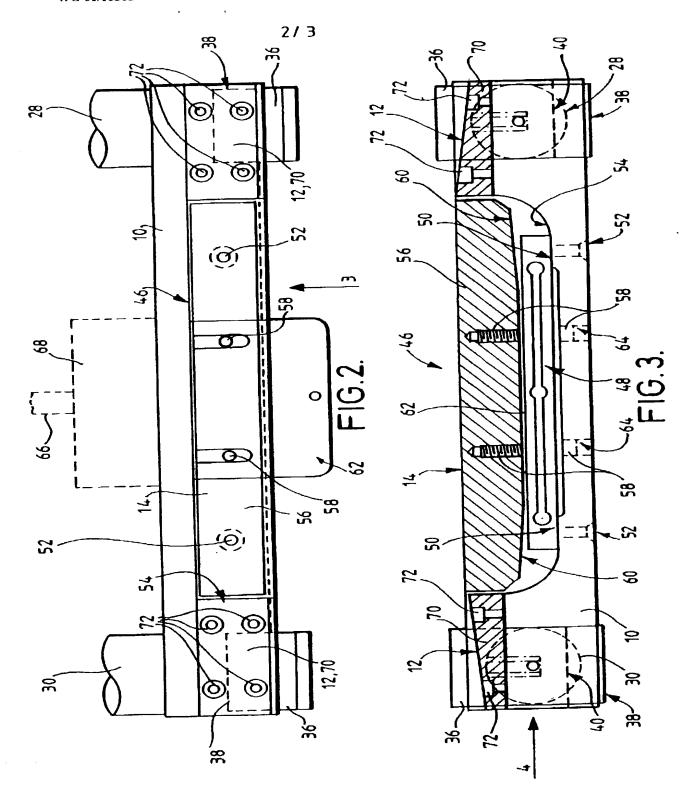


(57) Abstract: The apparatus comprises two somewhat convex shoes (10) clampable along the adjacent sides of a pair of rails (16) for engagement by the peripheries of wheel flanges so that the wheels are raised just clear of the rails, a load-sensing device (46) at the highest portion of each shoe, and load-indicating means connected to the devices. The apparatus is sectional, the shoes being urged apart into rigidly clamped positions by two struts (22) separable from the shoes to facilitate portability. Two spaced-apart support members (36) are secured to each shoe to embrace its outer and lower faces, each support member being vertically adjustable relative to the shoe to suit different heights of rails. The shoes have replaceable wear-strips (70).



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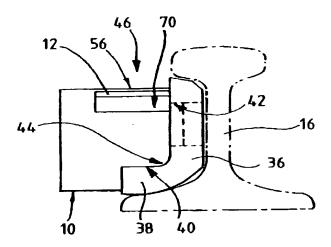


FIG.4.

DECLARATION AND ROWES OF ATTORNEY FOR PATENT APPEICATION CHE I 400

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As a below named	inventor, I	hereby declare that.	1 4 2002		•		
My residence, post	t office add	1-11	tated below next to my name				
-		13	ne name is listed below) or an orig	ginal, first and joint inventor (if	plural names are	e listed below) of the sul	bject mat
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(check one)	[]	is attached hereto					
	M	was filed on	February 28, 2002	as			
	•	Application Serial No	February 28, 2002	_			
		and was amended on		_			
		and was amended on	(if applicable)	_			
				_			
I hereby state that	I have revie	ewed and understand the co	ntents of the above identified spec	ification, including the claims,	as amended by a	any amendment referred	to above
I acknowledge the	duty to dis	close information which is	material to patentability as defined	in Title 37, Code of Federal Ro	egulations, §1 56	6	
I hereby claim fore below any foreign	eign priority	benefits under Title 35, Unr for patent or inventor's ce	ted States Code, §119 of any foreign	application(s) for patent of inve	ntor's certificate	listed below and have almed.	so identif
Prior Foreign App		•			Priority Claimed		
99207		GB	•	03 SEPTEMBER 1999	•	[XX]	[]
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(Num	iber)	(Country	/)	(Day/Month/Year File	a)	Yes	No
PCT/GB6	00/03333	PCT		31 AUGUST 2000		[XX]	[]
(Num	nber)	(Country	/)	(Day/Month/Year File	ed)	Yes	No
international filing	g date of thi	is application.					
(Application Serial Number)		(Filing Da	(Filing Date)		(Status patented, pending, abandoned)		
(Application Serial Number)		(Filing Da	(Filing Date) (Status: patented, p		ented, pending, abandon	pending, abandoned)	
POWER OF ATT			by appoint the following attorney(s) and/or agent(s) to prosecute the	nis application a	nd transact all business	in the Pa
Richard A. Giangi A. O'Malley, Reg	iorgi, Reg <u>. 2</u> g. No. 45,95	4,284; Raiford A. Blacksto 2, Timothy M. McCarthy,	ne, Jr., Reg. 25,156; David J. Mar. Reg. No. 42,855; and Paige A. Kit	r, Reg. 32,915; Linda L. Paloma zinger, Reg. No 45,219	ar, Reg. <u>37,903,</u>	James R. Foley, Reg 39	9,979, Jan
SEND CORRESI	PONDENC	Е ТО.	TREXLER, BUSHNELL, GIAN 105 W. ADAMS STREET, CHIC	GIORGI, BLACKSTONE & CAGO, IL 60603	MARR, LTD.		
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statements were m	nade with the	e; knowledge that willful fa	n knowledge are true and that all sta lse statements and the like so mad- nents may jeopardize the validity o	e are punishable by fine or impi	risonment, or bot	eved to be true; and furth th, under Section 1001 o	ner that the formal that the second term of the sec
Full name of sole	or first inv	entor Paul Andrew I	IORSFALL	- 0-11		2 - 2	-
nventors signatu					Monch	2002	
Residence			Wakefield, West Yorkshire WF	ZOIW, England EV	U/\		
Citizenship Post Office Addre		bove					
rost Office Addr	CSS <u>AS A</u>	DOYL					
(Supply similar in	nformation	and signature for second ar	d subsequent joint inventors)				